



1753  
PATENT  
ATTORNEY'S DOCKET NO.: M0023/7000D

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Choi et al. ART UNIT: 1753  
SERIAL NO.: 09/954,515 EXAMINER: Unknown  
FILED: September 17, 2001 CONF. NO.: 9063  
FOR: PROCESS FOR FORMING ELECTRODES

CERTIFICATE OF MAILING

I hereby certify that this correspondence along with all papers or documents referred to therein as being attached or enclosed is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Non-Fee Amendment, Commissioner for Patents, Washington, DC 20231 on

November 25, 2002.

*Kathryn E. Nott*  
Kathryn E. Nott

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COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

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Sir:

DEC 06 2002

TC 1700

Transmitted herewith for filing is/are the following document(s):

[XX] Fee transmittal  
[XX] Preliminary Amendment  
[XX] Check for \$18.00

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (617) 261-3100, Boston, Massachusetts.

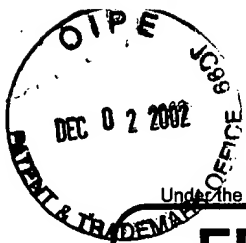
A check in the amount of \$18.00 is enclosed to cover the additional claim fee. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 50-1721. A duplicate of this sheet is enclosed.

Respectfully submitted,

*Jeffrey L. Snow*

Jeffrey L. Snow  
Reg. No.: 39,037  
KIRKPATRICK & LOCKHART, LLP  
75 State Street  
Boston, Massachusetts 02109  
Tel.: (617) 261-3100

DATE: November 25, 2002  
ATTORNEY'S DOCKET NO.: M0023/7000D



PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 18.00

**Complete if Known**

Application Number	09/954,515
Filing Date	09/17/2001
First Named Inventor	Hyung-Chul Choi
Examiner Name	Unknown
Group Art Unit	1753
Attorney Docket No.	M0023/7000D

**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50-1721  
Deposit Account Name: Kirkpatrick & Lockhart LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$) 0.00

**2. EXTRA CLAIM FEES**

Total Claims:  -20\*\* =  1 X  18.00 =  18.00  
Independent Claims:  -3\*\* =  X  =   
Multiple Dependent:  X  =

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 18.00

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

**SUBMITTED BY**

Name (Print/Type)	Jeffrey L. Snow	Registration No. (Attorney/Agent)	39,037	Telephone	617.261.3100
Signature	<i>Jeffrey L. Snow</i>	Date	November 25, 2002		

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ART UNIT: 1753  
EXAMINER: Unknown  
CONF. NO.: 9063

# B  
5/W.M.  
12/18/02

## CERTIFICATE OF MAILING

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*Kathryn E. Nell*  
Kathryn E. Nell

BOX NON-FEE AMENDMENT  
COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

PRELIMINARY AMENDMENT

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Sir:

Please amend the application as follows:

In the Claims:

Please add claim 28 as follows:

28. An electrode assembly according to claim 18 wherein the substrate is a material selected from the group consisting of polyether sulfones, poly(alkyl)acrylates, cellulose diacetate, polycarbonates, polyesters, polycarbonate copolymers and poly(bis(cyclopentadiene) condensate).

A check in the amount of \$18.00 is enclosed to cover the additional claim fee. Please apply any additional charges or credits to Deposit Account No. 50-1721.

Respectfully submitted,

*Jeffrey L. Snow*  
Jeffrey L. Snow

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12/03/2002 MGBREM1 00000075 09954515

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18.00 OP

Date: November 25, 2002